



**Pyramid**

# Data Management Systems: Evolution, State of the Art and Open Issues

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**\* Query Processing & Optimization in Parallel & Large-scale  
Distributed Environments**

# 0. Introduction (1/2) : Main Problems of Data Management

[Sto 98, Ozs 16, ...]

**“Data needs to be: <Captured, Cleaned, Stored, Queried, Processed and Turned in Knowledge>”**

- **Data Modelling & Semantic**
- **Query Processing & Optimization (OLAP)**
- **Concurrency Control/Transactions (OLTP)**
- **Replication & Caching**
- **Cost Models**
- **Security & Privacy**
- **Monitoring Services**
- **Resource Discovery**
- **Autonomic Data Management (self-tuning, self-repairing, ...), ...**
- ...

**➔ Data Management Systems DMS**

# 0. Introduction (2/2) : Evolution of Data Management Systems [Gra 96]

➔ *"The present without past has not future"* Fernand Braudel

▶ **<Concept** ➔ **Systems: Objective>**

- .....
- **File Management Systems FMS: *Storage Device Independence***
- **Uni-processor DB Systems DBMS [Codd 70]: *Prog-Data Independence***
- **Parallel DBMS [Dew 92, Val 93]: *High Perf., Scalable & Data Availability***
- **Distributed DBMS [Ozs 11]: *Location/Frag./Replication Transparency***
- **Data Integration Systems [Wie 92]: *Uniform Access to Data Sources***  
Characteristics = **<Distribution, *Heterogeneity, Autonomy*>**
- **Data Grid Systems [Fos 04]: *Sharing of Available Resources***
- **Mobile Database Systems : *Decentralized Control & Scalability***
- **Cloud Data Mana. Systems [Aba 09, Sto 10]: *Pay-Per-Use* ➔ *Economic Models***  
Characteristics = **<Elasticity, Fault-Tolerant >**

➔ **Evolution or Crossroad ?**

# Data Management Systems: Evolution, State of the Art & Open Issues

## Outline

- I. Background & Fundamentals: [Codd 70, Sel 76, Dew 92, Val 93, ...]**
    - ◆ **Databases & Uni-Proc. Rel. DBMS: Objectives & Limitations**
    - ◆ **Parallel Rel. DBMS: Motivations, Characteristics & Challenges**
  - II. Cloud Data Management Systems CDMS [Aba 09, Sto 10, ...]**
    - ◆ **Motivations & Main Characteristics of CDMS**
    - ◆ **Classification of CDMS : 3 Generations (G1, G2 & G3)**
    - ◆ **Comparison between Parallel Rel. DBMSs & MR Systems**
  - III. Main Contributions (Pyramid Team)**
  - IV. Future Research Directions [Abadi et al. 19, The Seattle Report on DB Research]**
  - V. Conclusion & References**
- <Context/Motivat./Pb. Position, State of the Art, Contributions, Open Issues>**

# I. Background & Fundamentals B & F

## 1. Databases DB and Relational DBMS [Codd 70]

### ■ DB Objectives:

- ▶ **Separation** between Data Structures (DB Schema) & Control Struct.
- ▶ **Prog-Data Independence** = <Physical & Logical> Independence

### ■ DB Models: <Hierarchical, Network, Relational & Object>

### ■ Main Characteristics (Rel. DB)

- **Structured Data: Relation Concept** to describe <Entities & Links>
- **Relational Algebra: Commutative, Internal Law**
- **From Procedural → Declarative Languages: SQL** [Cham76], QUEL [Sto 76], QBE [Zlo77], ....
  - ▶ **The System will find the (near) Optimal Access Path**
    - ➔ **Optimizer** [Sel 79, Wong 76, Gan 92, ...]

# I. Background & Fundamentals B & F

## 2. Uni-proc. Rel. DBMS: Query Optimization [Sel 79]

### ■ Problem Position [Gan 92]:

$q \in \text{Query}$  ,  $p \in \{\text{Execution Plans}\}$ ,  $\text{Cost}_p(q)$ :

- Find  $p$  calculating  $q$  such as  $\text{Cost}_p(q)$  is minimum
- Objective : Find the best trade-off between  
Min (Response Time) & Min (Optimization Cost)

### ■ Optimizer Structure= $\langle St, Sp, C \rangle$ [Gan 92]

- **St: Search Strategies** ( $\rightarrow$  Intelligence)
  - $\langle$ Physical Optim., Parallelization, Resource Allocation, ... $\rangle$
- **Sp: Search Space** ( $\rightarrow$  Control)
  - Data Structures/Queries: Linear Spaces, Bushy Space
  - Type/Nature of Queries
- **C: Cost Models** ( $\rightarrow$  Knowledge)
  - $\langle$ Metrics, System Environment Description $\rangle$

# I. Background & Fundamentals B & F

## 3. Limitations of Uni-proc. Query Optimization Methods wrt **Decision Support Systems /OLAP (RDBMS)**

- **Complex Queries:** *Number of Joins >6*
  - **Size of Research Space [Tan 91]:** *Very Large (e.g.  $2^{N-1}$ )*
  - **Optimization Cost [Lan91]:** *can be very expansive (e.g. Deterministic Strategies )*
  - **Optimal Execution Plan:** *not guaranteed (e.g. Randomized Strategies)*
    - ➔ **Requirements in: High Performance HP & Resource Availability**
    - ➔ **Introducing a New Dimension: *Parallelism***
- ▶ **Parallel Relational Database Systems [Dew 92, Val 93, ...]**

## I.4. B & F: Parallel Relational DBMS (1/2) [Dew 92, Val 93, Lu 94,.. .]

- **Motivations: Declarative Relational Languages (e.g. SQL)**
  - Automatic Parallelization of <Intra-operation & Inter-operation>
  - Parallelism = <Partitioned & Independent, Pipelined> //
  - Regular Data Structures : → *Static Annotations*
  - Decision Support Queries: Complex Queries, Huge DB (TB, PB, ...)
- **Objectives [Dew 92]:**
  - Best Trade-off between **Cost/Performance** wrt Mainframe
  - **High Performance HP**
    - ◆ Minimizing the **Response Time**
    - ◆ Maximizing the Parallel System **Throughput**
  - **Scalability** (≠ Elasticity)
    - ◆ Adding New resources (CPU, Memory, Disk)
    - ◆ Adding New Users (Applications)
      - ➔ **Holding the Same Performance**
  - **Resource Availability: Complex Queries, Fault-Tolerant**



## I.5. B & F: Parallel Rel. DBMS (2/2) [Dew 92, Val 93, Lu 94,... .]

### ■ Main Characteristics

- Parallel Architect. Models: SM, SD, DM= Shared-Nothing Archi.
- Parallelism Forms: <Partitioned, Independent, Pipelined>
- Data Partitioning:
  - Approaches: <Full Declustering, Partial Declustering>
  - Methods: <Round Robin, Range Partitioning, Hashing>

### ■ Main Challenges

- Parallelism Degree of each Relation/Operator (e.g. Join)?
- Parallelization Strategies: <One-Phase, 2-Phases> Approaches
- Resource Allocation: Data & Tasks Placement/Scheduling
- Optimization of Data Communications: **Plague of Parallelism**

### ■ Weakness of Parallel Rel. DBMS

- Run only on **expensive** servers
- **Weak** Fault - Tolerance
- Web Data Sets **are not structured** (Relational Schemas)
- Communication Costs: **Data Redistribution** (=Reshuffling in MR)  
→.... **Towards Big Data Manag. & Cloud Computing Why ?**

## II.1 Motivations (1/2): **Big Data, Cloud Computing & MapReduce**

- “Big Data”? : Generated from specific requirements of **Web Appli**  
+ Tradit. Appli. : C. Sim, Sat. , Astro, Live Sc, Buisness, ....

**Remarks:** 48<sup>th</sup> Intl. Conf. on **Very Large DB**; 41<sup>st</sup> Intl. Conf. On **Manag. Of Data**

**Parallel DBMS:** <TERADATA, → 1984; DB: **11 Terabytes** → 1996>

➔ **Big Data** → **“Moving Target”** [Val 16]

- **Big Data Characteristics:** **the 3 V’s (Volume, Velocity, Variety)**

➔ **What are the Solution for “the 3 V’s”** [Val 14] ?

- **Volume:** Refers to very large amounts of Data

➔ **Parallel Database Systems** [Dew 92]

- **Velocity:** Streaming Data

➔ **Data Stream Management Systems** [Ozu 16, Chap. 18]

- **Variety:** Heterogeneity of Data Formats, Semantics & Resources

➔ **Data Integration Systems** [Wied 92]

**However, why these systems are not naturally used?**

## II.1 Motivations (2/2): Towards Cloud Computing & MapReduce

- **Current Solutions (Infrastructures & Software) are:**  
**Proprietary & Expensive**
  - ➡ **Open Source Alternatives, Simple Programming Model ! (e.g. MapReduce), Low Costs LC (Commodity Hardware CH)**
- **Ability to scale resources (up, down, out) dynamically on-demand:** ➡ **Elasticity** (➔ **Pay-Per-Use PPU**)
- **How the systems should react “strongly” to Failures?**  
<Commodity Hard./LC, Data Replication, HDFS> ➡ **Fault-Tolerance**
- **Cloud Environments do not to be Owned nor Managed by a Customer (PPU Approach): Users ➔ Multi-tenant**  
<Tenant, Provider> trough **SLA (Service Level Agreement)**  
➡ **Performance Isolation!**

## II.2 Main Characteristics of Cloud Systems [Agra. et al. 2011]

- **Scalability (Infrastructure: Shared-nothing Architecture)**
- **Elasticity [Ozu 16]**  
«The ability to scale resources **out, up, and down dynamically to accommodate changing conditions**»
- **Strong Fault-Tolerance: (CH, Data Replication, HDFS (Hadoop Env))**
- **Performance Isolation [Nara 13]: Users → Multi-tenant & SLA (Service Level Agreement) Meeting**
- **Ability to run on Commodity Hardware CH (Low Cost)**
- ➔ **New Context = <Service on-demand, Multi-tenant, Commodity Hardware >**
- ➔ **Introduction of Economic Models in the Resource Management**

## II.3 Classification of Cloud Data Manag. Systems CDMS

### ■ 1<sup>st</sup> Generation G1: From MapReduce → SQL Like

#### ● MapReduce Systems → SQL on-Hadoop Systems based on Type of Data Store

- Simple Queries= Selection Queries
- Hive, MongoDB, Cassandra, Neo4j, Spark, ...

### ■ 2<sup>nd</sup> Generation G2: From Parallel RDBMS → Multi-tenant Par. RDBMS

#### ● Extension of Parallel Rel. RDBMSs with the “Cloud Concept”

→ High Performance & Elasticity [Won15, Yin 18, ...]

- Complex Queries= Join Queries
- Amazon Redshift, Azure SQL DW, Google BigQuery, Snowflake DW, ...

### ■ 3<sup>rd</sup> Generation G3: =<Distribution, *Heterogeneity*, *Autonomy*>

based on the concepts: <Multibase/Federated DB & Data Integration>

- Multistore/Polystores Systems: Polybase [Dew 13], SCOPE [Zho 12], CoherentPaas Proj. [Bon 15], **BigDAWG** [Sto/Dug 15], [Sol 20], [Lec 18], ...

## II.4 First Gen. G1 : From MR → SQL Like on-Hadoop Systems (1/2)

### ➔ Advantages and Weakness of MR

#### ■ Advantages of MapReduce MR

- Scaling very well (to manage massive data sets)
- Strong Fault -Tolerance (Data Replication, HDFS)
- Mechanism to achieve Load-Balancing
- Support **only** the Intra-Oper. & Independent Parallelisms (**Pipeline Par.?**)

#### ■ Weakness of MR: Side Applications

##### Developers:

- Are forced to translate their business logic to MR model
- Have to provide implementation for the M & R functions
- Have to give the best scheduling of M & R operations

##### ➔ More Hot Problems!

- **Prog-Data Independence is lost** (Prog-Data Independence of DB Concept!)
- **Extensive Materialization (I/O)** (the Pipeline // is not implemented)
- **Data Reshuffling (Redistribution) between M & R ➔ Plague of Parallelism**

## II.4 First Gen. G1 : From MR → SQL Like on-Hadoop Systems (2/2)

### ➔ Advantages and Weakness of Par. RDBMS

#### ■ Advantages of Par. RDBMS [Dew 92]

- Relational Schemas (→ Easy Annotations/Metadata)
- Declarative Query Languages (→ Automatic Optimization Process)
- Sophisticated Query Optimizers-Parallelizers : {Partitioned, Indep., Pipelined //}
- +/- Comm. Costs : Avoid the **Data Redistribution** (+/-: in some cases)

#### ■ Weakness of Par. RDBMS

- Run only on **expensive** servers
- **Weak** Fault - Tolerance
- Web Data Sets are **not structured** (Relational Schemas)
- Communication Costs: **Data Redistribution (=Reshuffling in MR)**

## II.5 Comparison between Par. RDBMS & MapReduce Systems (G1)

Systems	Par. RDBMS	MapReduce Systems (Hadoop Env.)/(1 <sup>st</sup> Generation)
Parameters		
Type of Applications	OLAP & OLTP (ACID)	OLAP: Yes; OLTP: <b>Not suitable (Initially!)</b> → <b>NewSQL</b>
Data Models	Structured Data (Relational Schema)	Unstructured or semi-Structured , ...( <b>more Flexible!</b> )
Data Independence	Yes	No (Initially)
Query Languages	Declaratives	Procedurals (initially)
Optimization & Parallelization	Automatic Optim. & // Annotations: Easy	Explicit Optim. (initially) Annotations: Very difficult
Scalability & Elasticity	Scalable & Dynamic	Scalable & <b>Elastic</b>
Fault-Tolerance	Weak	Strong
Location	<b>Known in advance</b>	<b>SLA Negotiation</b>
<b>Maturity</b>	<b>Strong</b>	<b>Weak (at this moment!)</b>



# III. Main Contributions of Pyramid Team (IRIT Lab, Toulouse)

## ■ Parallel RDBMS

- Estimation of Parallelism Degree of a Relational Operator [Ham 92]
- Minimization of Communication Costs Inter-operation [Ham 93]

## ■ Mobiles Databases

- Mobile Relational Algebra [Oza 08, Mor 11]
- Embedded Cost Model [Hus 05]

## ■ Cloud Data Management Systems & SLA (DBaaS)

- Integration of Performance Aspects in SLO /SLA for DBaaS [Yin 18]
- Integration of Economic Aspects in the Optimization Process meeting SLO [Mok 20, Tos 21]

➔ Cost Models : = < High Performance, Cost-effectiveness >

# IV.1 Future Research Directions (1/3)

- **New Context in CC=** <Service on-demand, Multi-tenant, Commodity Hardware>
  - ➔ **Introduction of Economic Models in the Resource Management**
- **Research Challenges** [Abadi et al.2019; “The Seattle Report on DB Research”]
  - RC1: “Data Science”**
    - < End-to-End Processing of Data/Data-to-Knowledge Pipeline, Data Context & Provenance, Data Manag. in support of Machine Learning, ...>
  - RC2: “Data Governance”**
    - <Data Use Policy & Data Sharing, Data Privacy , Ethical Data Science, ...>
  - RC3: “Scalable Big/Fast Data Infrastructures”**
    - <New Hardware (CPU/GPU), Parallel & Distributed Processing, *Query Proc. & Optimization*, Cost-efficient Storage, Consistency (NewSQL) , **HTAP (Hybrid Transaction Analytical Processing)**, Metrics & Benchmarks, ...>
  - RC4: “Cloud Services”**
    - <*Elasticity*, Multi-tenancy, Performance Isolation, Multistore/Polystores Systems, Leveraging Machine Learning, Auto-Tuning, ....>

## IV.2 Future Research Directions (2/3)

### ■ Open Issues wrt *Query Processing and Optimization*

#### **P1: Elastic Resource Allocation & Dynamic Data Replication**

[Kouri 13, Gra 13, Unter 14, Wong 15, Tan 16, Yin 18, Mok 20, ... ]

#### **P2: Data Skew & Load Balancing**

[Ram 12, Guf 12, Kwon 12/13, Elm 14, Akba 15, ....]

#### **P3: Data Partitioning & Redistribution (**Reshuffling Issue in MR**)**

(Optimization of Data Comm. in // DB Systems) [Chu 15, Lir 13, Sakr 12, ...]

#### **P4: Big Data Indexing [Val 14, ....., Knuth 73]**

→ [Val 14] “Indexing and Processing Big Data”

In: Mastodons Indexing Scientific Big Data, Paris, January 2014.

## IV.3 Future Research Directions: New Context & Open Issues (3/3)

- **P1: Elastic Query Optimization** [..., Yin 18, Mok 20, ...]
  - **Resource Allocation: Scheduling/Placement of Tasks**
  - **Dynamic Data Replication**
  - **Cost Models := <High Performance, Cost-effectiveness>**
  
- ➔ **Designing of Dynamic Execution Models:**
  - Efficient (Tenant) & Cost-effective (Provider)**
    - ➔ **Objective Function: Find the best trade-off between**
    - **Multi-tenant Satisfaction (QoS (e.g. Response Time)) &**
    - **Cost-effectiveness of Provider Services <IaaS, PaaS, DBaaS/ SaaS>**

# V. Summary & Conclusion (1/3) :

**Evolution of Data MS: <Concept → Systems: Objective>**

- **File Management Systems:** *Storage Device Independence*
- **Uni-processor Rel. DB Systems DBMS [Codd 70]:** *Data –Prog. Indepen*
- **Parallel DBMS [Dew 92, Val 93]:** *High Perf., Scalable & Data Availability*
- **Distributed DBMS [Ozs 11]:** *Location/Frag./Replication Transparency*
- **Data Integration Systems [Wie 92]:** *Uniform Access to Het. Data Sources*  
Characteristics = <Distribution, Heterogeneity, Autonomy>
- **Data Grid Systems [Fos 04, Pac 07]:** *Sharing of Available Resources*
- **Mobile Database Systems :** *Decentralized Control & Scalability*
- **Cloud Data Manag. Systems: <Pay-Per-Use> → Economic Models**  
**1<sup>st</sup> Gen. :** SQL-on-Hadoop Systems; **2<sup>nd</sup> Gen.:** Extension of Par. RDBMS with  
“Cloud Concept”; **3<sup>rd</sup> Gen.:** Multistore/Polystores Systems  
Characteristics = <Elasticity, Fault-Tolerance>  
**→ Evolution or Crossroad?**

# V. Conclusion (2/3): Impacts of CDMS on Scientific & Social Aspects

## 1. Scientific Aspects (1/2)

“The Beckman Report on Database Research” [Abadi et al. 2016]

- “Many early Big Data Mana. Systems **BDMS** **Abandoned of DBMS Principles** (e.g. Declarative Programming and Transactional Data Consistency) in favour of Scalability/Elasticity & Fault-Tolerance on Commodity Hardware” .
- “The latest generation of **DBMS** is **rediscovering the value of these principles and is adopting concepts and methods...**” that have been mastered by the **DB Community DBC**.
  - ➔ “**Building these systems on these principles,** the **DBC** is well positioned to drive improvements .....” .

# V. Conclusion (3/3): Impacts of CDMS on Scientific & Social Aspects

## 2. Scientific Aspects (2/2)

<..., Concepts, Approaches, Methods, Tech./Tools> & <Applications>

- **New "Concept" introduced by the Cloud Computing CC**  
**In terms of: <Data Models, DM Languages> ?**
  - ➔ **Economic Models in the Resource Management (Elasticity)**  
**Rationalization & Cost-effectiveness!**

## 3. Feedback from Industry and Institutions: Evaluation of benefits and social impacts ?

***Thank you for your attention***



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